Carstens, Yee & Cahoon, L.L.P.

13760 Noel Road Suite 900 Dallas, Texas 75240

Main No. (972) 367-2001 Facsimile (972) 367-2002

Facsimile Cover Sheet

To: Office of Intitial Patent Examination's Filing Receipt Corrections	Facsimile No.: 703/746-9195		
From: Krista Douthitt Paralegal to Duke Yee	No. of Pages Including Cover Sheet: 6		
Message:			
Enclosed herewith:			
 Transmittal Document; Request for Corrected Filing Receipt; at Copy of Official Filing Receipt. 	nd		
Re: Application No. 10/698,880 Attorney Docket No: YOR920030042US2	2		
Date: Monday, February 9, 2004			
Please contact us at (972) 367-2001 if you do not receive all pages indicated above or experience any difficulty in receiving this facsimile.	This Facsimile is intended only for the use of the addressee and, if the addressee is a client or their agent, contains privileged and confidential information. If you are not the intended recipient of this facsimile, you have received this facsimile inadvertently and in error. Any review, dissemination, distribution, or copying is strictly prohibited. If you received this facsimile in error, please notify us by telephone and return the facsimile to us immediately.		

PLEASE CONFIRM RECEIPT OF THIS TRANSMISSION BY FAXING A CONFIRMATION TO 972-367-2002.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ş

§

§

Krista Douthitt

In re application of: Bagchi et al.

Serial No.: 10/698,880

Filed: October 31, 2003

For: Dynamic Online Multi-Parameter Optimization System and Method for **Autonomic Computing Systems**

> 35526 PATENT TRADEMARK OFFICE CUSTOMER NUMBER

Group Art Unit: 2123

Examiner: Unknown

Attorney Docket No.: YOR920030042US2

Certificate of Transmission Under 37 C.F.R. 8 1.8(a)

I hereby certify this correspondence is being transmitted via facsimile to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number (703) 746-9195 on February 9, 2004.

Krista Douthitt

TRANSMITTAL DOCUMENT

ıBy:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Request for Corrected Filing Receipt; and
- Copy of the Official Filing Receipt.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 50-0392. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 50-0392.

Respectfully submitted.

Duke W. Yee Registration No. 34,285

CARSTENS, YEE & CAHOON, LLP

P.O. Box 802334 Dallas, Texas 75380 (972) 367-2001

ATTORNEY FOR APPLICANTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re application of: Bagchi et al.	§ Group Art Unit: 2123
Serial No.: 10/698,880	§ Examiner: Unknown
Filed: October 31, 2003	§ Attorney Docket No.: YOR920030042US2
For: Dynamic Online Multi-Parameter Optimization System and Method for Autonomic Computing Systems	Certificate of Transmission Linder 37 C.F.R. § 1.8(a) I hereby certify this correspondence is being transmitted via facsimile to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number (703) 746-9195 on February 9, 2004. By: Krista Douthitt

REQUEST FOR CORRECTED FILING RECEIPT

- 1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
- 2. There is an error with respect to the following data, which is:

[X] incorrectly entered and/or [] omitted.

Error in

	Error in		Correct data
	[X] Applicant's name	1.	Saurabh Bagchi
	[] Applicant's address	2.	
3.	[] Title	3.	
	[] Filing Date	4.	
	[] Serial Number	5.	
6.	[] Foreign/PCT Application Re:	6.	
	[X] Other (Attorney Docket Number)	7.	YOR920030042US2

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 50-0392. No extension of time is believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 50-0392.

Respectfully submitted,

Duke W. Yee

Duke W. Yee Registration No. 34,285

CARSTENS, YEE & CAHOON, LLP

P.O. Box 802334

Dallas, Texas 75380

(972) 367-2001

ATTORNEY FOR APPLICANTS

Page 1 of 2



35526

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMM United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Dox 1450 Alxandry, Vaginal 22313-1450 www.asylv.gov

FILING OR 371 APPL NO. ART UNIT FIL FEE REC'D (c) DATE ATTY.DOCKET NO DRAWINGS TOT CLMS IND CLMS 10/698.880 10/31/2003 2123 1126 YOR920030042US 35

CONFIRMATION NO. 2029

FILING RECEIPT

OC000000011811147

DUKE. W. YEE CARSTENS, YEE & CAHOON, L.L.P. P.O. BOX 802334 **DALLAS, TX 75380**

Date Mailed: 02/02/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Bagohi

Saurabh Baqehi, West Lafayette, IN; Rajarshi Das, New Rochelle, NY; Yixin Diao, White Plains, NY; Marc Adam Kaplan, Katonah, NY; Jeffrey Owen Kephart, Cortlandt Manor, NY;

Assignment For Published Patent Application

International Business Machines Corporation, Armonk, NY;

Domestic Priority data as claimed by applicant

This applin claims benefit of 60/486,306 07/11/2003

Foreign Applications

If Required, Foreign Filing License Granted: 02/02/2004

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Page 2 of 2

Title

Dynamic online multi-parameter optimization system and method for autonomic computing systems

Preliminary Class

703

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).